

EAST Search History**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|-------|------|---|--|------------------|---------|------------------|
| L1 | 318 | segregated with accounts | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 20:50 |
| L2 | 52 | (segregated with accounts) and (margin or (margin near3 call)) | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 20:51 |
| L3 | 2 | "4910676".pn. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 23:00 |
| L4 | 62 | borrow\$3 with (account or fund) with limit | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 23:18 |
| L5 | 24 | ("20060015426" "20060224494" "20060235785" "20060242041" "20060271453" "20060277124" "20070118449" "20070136180" "20070150413" "20080097884" "4910676" "5812987").PN. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 23:25 |
| L6 | 10 | l5 and allocat\$4 | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/25 23:25 |

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|-----|-------|---|---|----|----|---------------------|
| L7 | 23253 | multiple with accounts | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:05 |
| L8 | 695 | I7 and trading and allocat\$4 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:05 |
| L9 | 277 | I7 and trading and allocat\$4 and (lender or margin) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:08 |
| L11 | 483 | leverage with account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:28 |
| L12 | 89 | I11 and trad\$3 and (limit or threshold) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:28 |
| L13 | 45 | I11 and trad\$3 and (limit or threshold) and (allocation or (allocation with percentage)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:37 |
| L14 | 267 | margin adj call | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:59 |
| L15 | 220 | I14 and account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:59 |

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| L16 | 63 | I15 and allocat\$4 and leverage | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/05/26 00:59 |
| S1 | 72993 | ("705").CLAS. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/06/24 13:31 |
| S2 | 9650 | (705/1).OCLS. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/06/24 13:31 |
| S3 | 4475 | (705/35).OCLS. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/06/24 13:31 |
| S4 | 2495 | (705/36R).OCLS. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/06/24 13:31 |
| S5 | 4579 | (705/37).OCLS. | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/06/24 13:31 |
| S6 | 764 | tracking adj account | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 13:32 |
| S7 | 94 | S6 and allocation | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 13:32 |

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| S8 | 20 | S6 and allocation and investor | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 13:34 |
| S9 | 4220 | account and leverage and ratio | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:11 |
| S10 | 3980 | S9 and different | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:11 |
| S11 | 22 | maximum adj leverage adj ratio | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:30 |
| S12 | 15 | ring adj fencing | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:35 |
| S14 | 62 | S6 and margin | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:38 |
| S15 | 476 | trading adj account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:40 |
| S16 | 223 | S15 and (margin or leverage) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:40 |

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| S17 | 112 | S15 and (margin or leverage) and allocation | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:40 |
| S18 | 133 | portfolio adj tracking | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:56 |
| S19 | 31 | S18 and (margin or leverage) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 15:57 |
| S20 | 1209 | leverage adj ratio | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:03 |
| S21 | 0 | S20 and S18 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:04 |
| S22 | 59 | S20 and investment and account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:04 |
| S23 | 7 | leverage adj limit | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:16 |
| S24 | 765793 | allocate or allocation or distribute | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:18 |

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| S25 | 2186302 | allocate or allocation or distribute or distribution | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:19 |
| S26 | 23 | leverage adj level | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:24 |
| S27 | 823 | fund near5 allocation | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:57 |
| S28 | 459851 | leverage (leverage adj level) (debt adj level) debt margin | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:58 |
| S29 | 108667 | S28 and ratio | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:58 |
| S30 | 2456 | S29 and funds | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:58 |
| S31 | 1495 | S30 and investment | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:58 |
| S32 | 653 | S31 and allocation and account and (maximum or limit or ratio) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/06/24 16:59 |

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| S33 | 2 | "20050216388".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 18:33 |
| S34 | 26 | subscription adj redemption | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 18:46 |
| S35 | 15 | S34 and trad\$3 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 18:46 |
| S36 | 805 | (determin\$3 or calculat \$3) with allocation near10 (account or fund or investment) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:07 |
| S37 | 691 | ((determin\$3 or calculat \$3) with allocation) near10 (account or fund or investment) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:07 |
| S38 | 23 | S37 and (tracking near5 account) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:08 |
| S39 | 37656 | (allocate or allocation or allocating or distribut \$3) with (profits or loss) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:17 |
| S40 | 10 | S39 and (tracking adj account) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:17 |

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| S41 | 2 | "5761441".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:40 |
| S42 | 806 | margin adj account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:42 |
| S43 | 259 | S42 and fund | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:42 |
| S44 | 2 | S42 and fund and (maximum adj leverage) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/01 19:43 |
| S45 | 6356 | allocation near5 (amount or percentage) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:38 |
| S46 | 776 | tracking adj account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:39 |
| S47 | 11 | S45 and S46 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:39 |
| S48 | 112 | maximum adj credit adj limit | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:41 |

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| S49 | 0 | maximum adj credit adj limit/4 and margin | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:41 |
| S50 | 145 | margin near10 leverage | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:42 |
| S51 | 139 | portfolio near7 margin | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:47 |
| S52 | 112 | S51 and risk | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/07/06 09:47 |
| S53 | 855 | tracking adj account | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 12:58 |
| S54 | 69 | S53 and margin | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 12:58 |
| S55 | 109 | S53 and (margin or leverage) | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 12:58 |
| S56 | 22 | maximum adj leverage adj ratio | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 13:01 |

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| S57 | 22 | S56 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 13:01 |
| S58 | 2812 | (705/36R).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2010/01/05 13:02 |
| S59 | 3 | S58 and S56 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 13:02 |
| S60 | 2 | (tracking adj account) with (trading adj account) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 15:12 |
| S61 | 518 | trading adj account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 15:59 |
| S62 | 3 | S61 and S53 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 15:59 |
| S63 | 1 | S61 and track\$3 and account and S56 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:12 |
| S64 | 1 | segregated with accounts with bermuda | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:13 |

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| S65 | 304 | segregated with accounts | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:15 |
| S66 | 82 | S65 and loss and profit | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:15 |
| S67 | 5 | multi with leverage with account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:29 |
| S68 | 13 | master with feeder with (fund or account) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:31 |
| S69 | 5 | master with feeder with account | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:32 |
| S70 | 24 | "20060015426" "20060224494" "20060235785" "20060242041" "20060271453" "20060277124" "20070118449" "20070136180" "20070150413" "20080097884" "4910676" "5812987").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:34 |
| S71 | 7 | S70 and leverage | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:35 |

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|-----|---|---------------------------------------|---|----|----|---------------------|
| S72 | 5 | leverage with account with allocation | US_PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/01/05 17:38 |
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EAST Search History (Interference)

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